

Superadditivity of the Classical Capacity with Limited Entanglement Assistance

Elton Yechao Zhu

Center for Theoretical Physics, MIT

Sep 7, 2017

- based on joint work with Quntao Zhuang, Peter Shor
- Phys. Rev. Lett. 119, 040503 (2017)
- arXiv: 1704.06955

Quantum Channels and its Capacities

- Quantum channels: completely positive, trace preserving linear maps
- An important characteristic is its classical capacity - maximum rate of reliable transmission of classical information

Quantum Channels and its Capacities

- Quantum channels: completely positive, trace preserving linear maps
- An important characteristic is its classical capacity - maximum rate of reliable transmission of classical information
- Holevo capacity (Holevo '98, Schumacher & Westmorland '97)

$$C^{(1)}(\mathcal{N}) = \max_{\{p_i, \rho_i\}} S\left(\sum p_i \mathcal{N}(\rho_i)\right) - \sum_i p_i S(\mathcal{N}(\rho_i))$$

Quantum Channels and its Capacities

- $C^{(1)}(\mathcal{N})$: achievable using inputs that are products among channel uses

Quantum Channels and its Capacities

- $C^{(1)}(\mathcal{N})$: achievable using inputs that are products among channel uses
- If one considers inputs that are entangled among channel uses, one obtains the classical capacity $C(\mathcal{N})$

$$C(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} C^{(1)}(\mathcal{N}^{\otimes n})$$

Quantum Channels and its Capacities

- $C^{(1)}(\mathcal{N})$: achievable using inputs that are products among channel uses
- If one considers inputs that are entangled among channel uses, one obtains the classical capacity $C(\mathcal{N})$

$$C(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} C^{(1)}(\mathcal{N}^{\otimes n})$$

- Regularized expression, may be hard to calculate

The Additivity Problem

- The additivity conjecture:

$$C^{(1)}(\mathcal{N}_1 \otimes \mathcal{N}_2) = C^{(1)}(\mathcal{N}_1) + C^{(1)}(\mathcal{N}_2) \quad \forall \mathcal{N}_1, \mathcal{N}_2$$

The Additivity Problem

- The additivity conjecture:

$$C^{(1)}(\mathcal{N}_1 \otimes \mathcal{N}_2) = C^{(1)}(\mathcal{N}_1) + C^{(1)}(\mathcal{N}_2) \quad \forall \mathcal{N}_1, \mathcal{N}_2$$

- If true, this would mean regularization is not needed, *i.e.*,

$$C(\mathcal{N}) = C^{(1)}(\mathcal{N}) \quad \forall \mathcal{N}.$$

The Additivity Problem

- The additivity conjecture:

$$C^{(1)}(\mathcal{N}_1 \otimes \mathcal{N}_2) = C^{(1)}(\mathcal{N}_1) + C^{(1)}(\mathcal{N}_2) \quad \forall \mathcal{N}_1, \mathcal{N}_2$$

- If true, this would mean regularization is not needed, *i.e.*,

$$C(\mathcal{N}) = C^{(1)}(\mathcal{N}) \quad \forall \mathcal{N}.$$

- However, this turns out to be false :(
- Proven via the subadditivity of minimum output entropy (Hastings '09)

The Additivity Problem

- For a specific channel \mathcal{N} , its classical capacity may be additive, or superadditive.

The Additivity Problem

- For a specific channel \mathcal{N} , its classical capacity may be additive, or superadditive.
- Additive channels: unital qubit channels (King '02), entanglement-breaking channels (Shor '02)

The Additivity Problem

- For a specific channel \mathcal{N} , its classical capacity may be additive, or superadditive.
- Additive channels: unital qubit channels (King '02), entanglement-breaking channels (Shor '02)
- Superadditive channels: random unitary/orthogonal channel (Hastings '09)

Auxiliary resources for communication

- Adding auxiliary resources into communication

Auxiliary resources for communication

- Adding auxiliary resources into communication
- Classical channels: shared randomness

Auxiliary resources for communication

- Adding auxiliary resources into communication
- Classical channels: shared randomness
does not increase capacity (Shannon '48)

Auxiliary resources for communication

- Adding auxiliary resources into communication
- Classical channels: shared randomness
does not increase capacity (Shannon '48)
- Quantum channels: shared entanglement between the sender and receiver

Classical Capacity with entanglement assistance

- Example: Superdense Coding
- If two parties have unlimited shared entanglement, this gives the classical capacity with entanglement assistance C_E (Bennett et al. '99, '02)

Classical Capacity with entanglement assistance

- Example: Superdense Coding
- If two parties have unlimited shared entanglement, this gives the classical capacity with entanglement assistance C_E (Bennett et al. '99,'02)
- $C_E(\mathcal{N}) = I(\mathcal{N}) = \max_{\sigma} I(A; B)_{\sigma}$

Classical Capacity with entanglement assistance

- Example: Superdense Coding
- If two parties have unlimited shared entanglement, this gives the classical capacity with entanglement assistance C_E (Bennett et al. '99,'02)
- $C_E(\mathcal{N}) = I(\mathcal{N}) = \max_{\sigma} I(A; B)_{\sigma}$
 $\sigma_{AB} = \mathcal{N}_{A' \rightarrow B}(\phi_{AA'})$
 $I(A; B)_{\sigma} = S(A)_{\sigma} + S(B)_{\sigma} - S(AB)_{\sigma}$

Classical Capacity with (unlimited) entanglement assistance

- Single-letter! Efficiently computable! This is due to the additivity of mutual information of a channel
$$I(\mathcal{N}_1 \otimes \mathcal{N}_2) = I(\mathcal{N}_1) + I(\mathcal{N}_2)$$
- In analogy with Shannon's capacity formula of classical channels
- Unifies classical and quantum capacity (with unlimited entanglement assistance)
- Provide ideas for channel simulation
- Entanglement assistance simplifies quantum Shannon theory

Our Question

- What exactly causes C_E to be additive?
Is it entanglement assistance?
- Since classical capacity can be additive or superadditive, how robust is the additivity property against entanglement assistance?

Classical Capacity with Limited Entanglement Assistance

- Setting: Alice has an ensemble of input states $\{p_i, \rho_i\}$, Bob has all the purifications. Bob can make joint decodings.

Classical Capacity with Limited Entanglement Assistance

- Setting: Alice has an ensemble of input states $\{p_i, \rho_i\}$, Bob has all the purifications. Bob can make joint decodings.
- rate of classical communication (Shor '04):

$$\sum_i p_i S(\rho_i) + S\left(\sum_i p_i \mathcal{N}(\rho_i)\right) - \sum_i p_i S(\mathcal{N} \otimes I(\phi_i))$$

Classical Capacity with Limited Entanglement Assistance

- Setting: Alice has an ensemble of input states $\{p_i, \rho_i\}$, Bob has all the purifications. Bob can make joint decodings.
- rate of classical communication (Shor '04):

$$\sum_i p_i S(\rho_i) + S\left(\sum_i p_i \mathcal{N}(\rho_i)\right) - \sum_i p_i S(\mathcal{N} \otimes I(\phi_i))$$

- rate of entanglement consumption

$$\sum_i p_i S(\rho_i)$$

Classical Capacity with Limited Entanglement Assistance

- 1-shot classical capacity with entanglement assistance P :

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{p_i, \rho_i\} \\ \sum_i p_i S(\rho_i) \leq P}} \sum_i p_i S(\rho_i) \\ + S\left(\mathcal{N}\left(\sum_i p_i \rho_i\right)\right) - \sum_i p_i S(\mathcal{N} \otimes \mathcal{I}(\phi_i))$$

- interpolating between $C^{(1)}(\mathcal{N})$ and $C_E(\mathcal{N})$

Classical Capacity with Limited Entanglement Assistance

- 1-shot classical capacity with entanglement assistance P :

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{p_i, \rho_i\} \\ \sum_i p_i S(\rho_i) \leq P}} \sum_i p_i S(\rho_i) \\ + S\left(\mathcal{N}\left(\sum_i p_i \rho_i\right)\right) - \sum_i p_i S(\mathcal{N} \otimes \mathcal{I}(\phi_i))$$

- interpolating between $C^{(1)}(\mathcal{N})$ and $C_E(\mathcal{N})$
- classical capacity with entanglement assistance P :

$$C_P(\mathcal{N}) = \lim_{n \rightarrow \infty} C_P^{(n)}(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} C_{nP}^{(1)}(\mathcal{N}^{\otimes n})$$

- interpolating between $C(\mathcal{N})$ and $C_E(\mathcal{N})$

Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

- Additive: $\forall P > 0, \quad C_P(\mathcal{N}) = C_P^{(1)}(\mathcal{N})$.
- Superadditive: $\exists P > 0$ s.t. $C_P(\mathcal{N}) > C_P^{(1)}(\mathcal{N})$.

Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

- Additive: $\forall P > 0, \quad C_P(\mathcal{N}) = C_P^{(1)}(\mathcal{N})$.
- Superadditive: $\exists P > 0$ s.t. $C_P(\mathcal{N}) > C_P^{(1)}(\mathcal{N})$.
- The obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is superadditive, then $C_P(\mathcal{N})$ is superadditive (proof: continuity argument).

Classical Capacity with Limited Entanglement Assistance

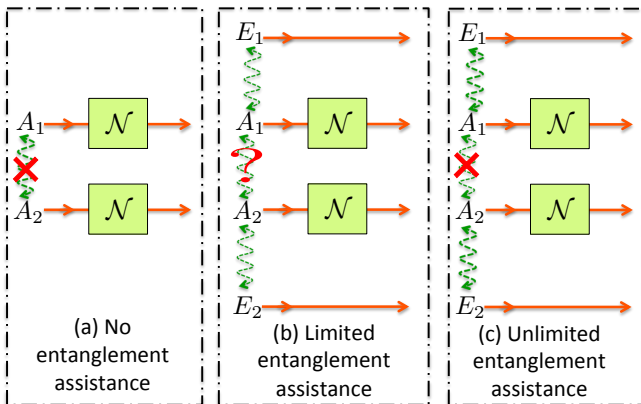
-The Additivity Problem

- Additive: $\forall P > 0, \quad C_P(\mathcal{N}) = C_P^{(1)}(\mathcal{N})$.
- Superadditive: $\exists P > 0$ s.t. $C_P(\mathcal{N}) > C_P^{(1)}(\mathcal{N})$.
- The obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is superadditive, then $C_P(\mathcal{N})$ is superadditive (proof: continuity argument).
- The non-obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is additive, must $C_P(\mathcal{N})$ be additive?

Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

The non-obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is additive, must $C_P(\mathcal{N})$ be additive?



Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

- The non-obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is additive, must $C_P(\mathcal{N})$ be additive?
- Why non-obvious?

Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

- The non-obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is additive, must $C_P(\mathcal{N})$ be additive?
- Why non-obvious?
- You may wish to use Bell pairs for a fraction of channel uses, and use pure states for the other fraction (time-sharing strategy)
rate: $\rho C + (1 - \rho)C_E$.
- Not always optimal!
Example: qubit dephasing channel (Hsieh&Wilde 10)

Classical Capacity with Limited Entanglement Assistance

-The Additivity Problem

- The non-obvious: For a channel \mathcal{N} , if $C(\mathcal{N})$ is additive, must $C_P(\mathcal{N})$ be additive?
- Why non-obvious?
- You may wish to use Bell pairs for a fraction of channel uses, and use pure states for the other fraction (time-sharing strategy)
rate: $\rho C + (1 - \rho)C_E$.
- Not always optimal!
Example: qubit dephasing channel (Hsieh&Wilde 10)
- Inconclusive result from unital qubit channels and entanglement-breaking channels

Classical Capacity with Limited Entanglement Assistance -Superadditivity

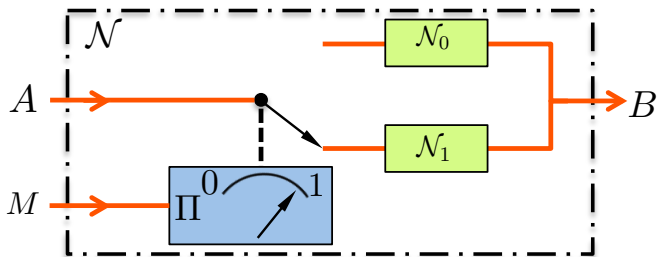
- Could $C_P(\mathcal{N})$ be superadditive, even if $C(\mathcal{N})$ is additive?

Classical Capacity with Limited Entanglement Assistance -Superadditivity

- Could $C_P(\mathcal{N})$ be superadditive, even if $C(\mathcal{N})$ is additive?
- YES!

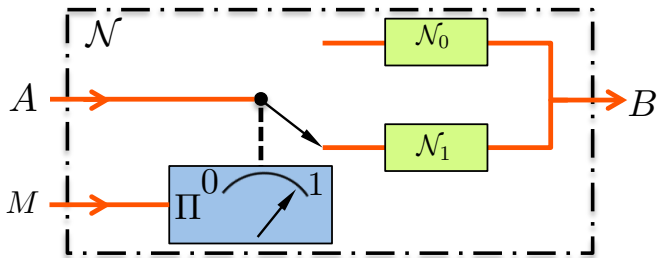
Classical Capacity with Limited Entanglement Assistance -Superadditivity

- Could $C_P(\mathcal{N})$ be superadditive, even if $C(\mathcal{N})$ is additive?
- YES!
- Example via the switch channel



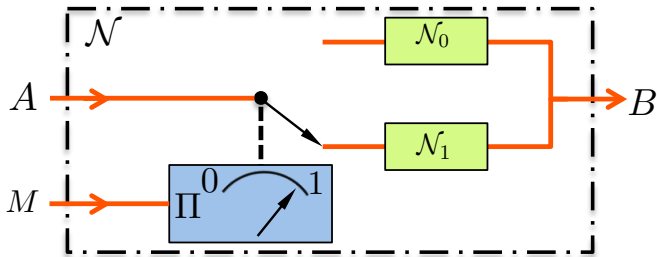
$$\mathcal{N}(\rho^{MA}) = \mathcal{N}_0 \left(\langle 0 | \rho^{MA} | 0 \rangle^M \right) + \mathcal{N}_1 \left(\langle 1 | \rho^{MA} | 1 \rangle^M \right)$$

Switch Channel: Intuition



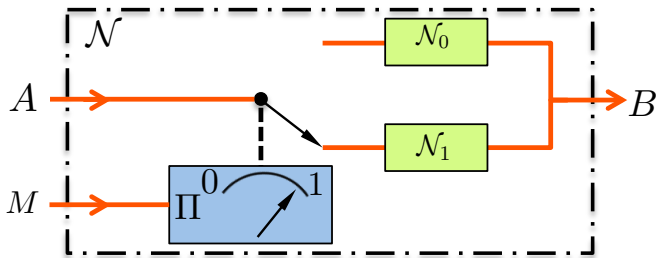
- \mathcal{N}_0 : classical channel
- \mathcal{N}_1 : quantum channel, superadditive classical capacity
- $C_E(\mathcal{N}_1) > C(\mathcal{N}_0) = C(\mathcal{N}_1)$

Switch Channel: Intuition



- \mathcal{N}_0 : classical channel
- \mathcal{N}_1 : quantum channel, superadditive classical capacity
- $C_E(\mathcal{N}_1) > C(\mathcal{N}_0) = C(\mathcal{N}_1)$
- $P = 0$, \mathcal{N}_0 preferred over \mathcal{N}_1 , $C(\mathcal{N})$ additive.

Switch Channel: Intuition



- \mathcal{N}_0 : classical channel
- \mathcal{N}_1 : quantum channel, superadditive classical capacity
- $C_E(\mathcal{N}_1) > C(\mathcal{N}_0) = C(\mathcal{N}_1)$
- $P = 0$, \mathcal{N}_0 preferred over \mathcal{N}_1 , $C(\mathcal{N})$ additive.
- As P increases, \mathcal{N}_1 gets more and more favoured.
Superadditivity in $C_P(\mathcal{N}_1)$ is carried over to $C_P(\mathcal{N})$.

Switch Channel-Formalism

- Need to express $C_P^{(1)}(\mathcal{N})$, $C_P(\mathcal{N})$ in terms of $\mathcal{N}_0, \mathcal{N}_1$.
- Lemma: Superposition and entanglement of the measurement register is not needed to achieve $C_P^{(1)}$.
- Optimal input: $\{p_{ij}, |j\rangle\langle j|^M \otimes \rho_{ij}^A\}$, $j = 0, 1$

Switch Channel- Capacity

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{p_i, \rho_i\} \\ \sum_i p_i S(\rho_i) \leq P}} \sum_i p_i S(\rho_i) \\ + S\left(\mathcal{N}\left(\sum_i p_i \rho_i\right)\right) - \sum_i p_i S(\mathcal{N} \otimes \mathcal{I}(\phi_i))$$

Switch Channel- Capacity

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{p_i, \rho_i\} \\ \sum_i p_i S(\rho_i) \leq P}} \sum_i p_i S(\rho_i) \\ + S\left(\mathcal{N}\left(\sum_i p_i \rho_i\right)\right) - \sum_i p_i S(\mathcal{N} \otimes \mathcal{I}(\phi_i))$$

- von Neumann entropy is concave, so second term cannot be broken up without further assumption.

Switch Channel- Capacity

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{p_i, \rho_i\} \\ \sum_i p_i S(\rho_i) \leq P}} \sum_i p_i S(\rho_i) \\ + S\left(\mathcal{N}\left(\sum_i p_i \rho_i\right)\right) - \sum_i p_i S(\mathcal{N} \otimes \mathcal{I}(\phi_i))$$

- von Neumann entropy is concave, so second term cannot be broken up without further assumption.
- Added assumption: For \mathcal{N}_0 and \mathcal{N}_1 , their capacity $C_P^{(n)}$ can be achieved with inputs such that this term is maximized.

Switch Channel - Capacity

Since \mathcal{N}_0 is a classical channel, with all capacities additive,

$$C^{(1)}(\mathcal{N}) = \max \{ C(\mathcal{N}_0), C^{(1)}(\mathcal{N}_1) \}$$

$$C(\mathcal{N}) = \max \{ C(\mathcal{N}_0), C(\mathcal{N}_1) \}$$

$$C_P^{(1)}(\mathcal{N}) = \max_{\substack{\{q, P'\} \\ (1-q)P'=P}} qC(\mathcal{N}_0) + (1-q)C_{P'}^{(1)}(\mathcal{N}_1)$$

$$C_P(\mathcal{N}) = \max_{\substack{\{q, P'\} \\ (1-q)P'=P}} qC(\mathcal{N}_0) + (1-q)C_{P'}(\mathcal{N}_1)$$

Switch Channel - Capacity

- With the assumption $C(\mathcal{N}_0) = C(\mathcal{N}_1)$

$$C_P(\mathcal{N}) = C_P(\mathcal{N}_1)$$

$$C_P^{(1)}(\mathcal{N}) = \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}^{(1)}(\mathcal{N}_1)$$

\tilde{q}, \tilde{P} achieve maximum

Switch Channel - Capacity

- With the assumption $C(\mathcal{N}_0) = C(\mathcal{N}_1)$

$$\begin{aligned}C_P(\mathcal{N}) &= C_P(\mathcal{N}_1) \\ C_P^{(1)}(\mathcal{N}) &= \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}^{(1)}(\mathcal{N}_1)\end{aligned}$$

\tilde{q}, \tilde{P} achieve maximum

- Not done yet! Consider the following chain of inequality

$$\begin{aligned}C_P(\mathcal{N}) &\geq \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}(\mathcal{N}_1) \\ &\geq \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}^{(1)}(\mathcal{N}_1) = C_P^{(1)}(\mathcal{N})\end{aligned}$$

- Both inequalities may not be strict

Switch Channel - Capacity

$$\begin{aligned} C_P(\mathcal{N}) &\geq \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}(\mathcal{N}_1) \\ &\geq \tilde{q}C(\mathcal{N}_1) + (1 - \tilde{q})C_{\tilde{P}}^{(1)}(\mathcal{N}_1) = C_P^{(1)}(\mathcal{N}) \end{aligned}$$

One more assumption: $C_P(\mathcal{N}_1)$ superadditive at P and beats all time-sharing strategies (strictly concave in P)

- $\tilde{P} = P$, second inequality strict (or $C_P(\mathcal{N}_1) > C_P^{(1)}(\mathcal{N}_1)$ by definition)
- $\tilde{P} > P$, first inequality strict

All assumptions for \mathcal{N}_0 and \mathcal{N}_1

- \mathcal{N}_0 : classical, maximal output. $C(\mathcal{N}_0) = C(\mathcal{N}_1)$.
- Satisfied easily, e.g., symmetric classical channels

All assumptions for \mathcal{N}_0 and \mathcal{N}_1

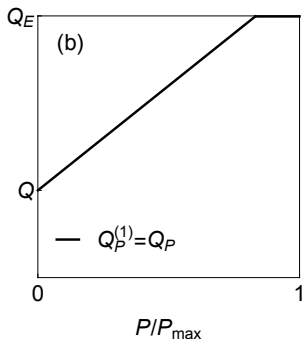
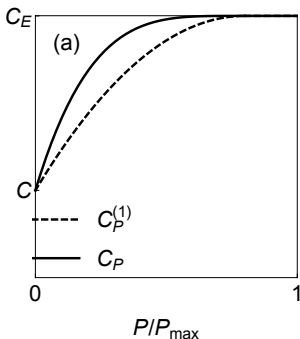
- \mathcal{N}_0 : classical, maximal output. $C(\mathcal{N}_0) = C(\mathcal{N}_1)$.
- Satisfied easily, e.g., symmetric classical channels
- \mathcal{N}_1 : quantum, maximal output.
- For some $P > 0$, $C_P(\mathcal{N}_1) > C_P^{(1)}(\mathcal{N}_1)$
- $C_P(\mathcal{N}_1)$ strictly concave in P

All assumptions for \mathcal{N}_0 and \mathcal{N}_1

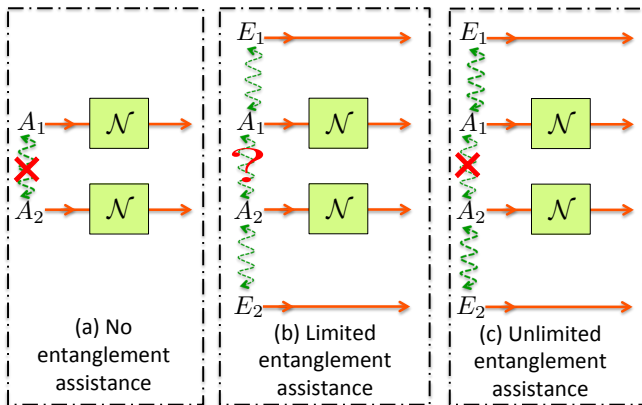
- \mathcal{N}_0 : classical, maximal output. $C(\mathcal{N}_0) = C(\mathcal{N}_1)$.
- Satisfied easily, e.g., symmetric classical channels
- \mathcal{N}_1 : quantum, maximal output.
- For some $P > 0$, $C_P(\mathcal{N}_1) > C_P^{(1)}(\mathcal{N}_1)$
- $C_P(\mathcal{N}_1)$ strictly concave in P
- Hasting's construction plus unital extension can satisfy 1st,2nd assumption. We can modify it to satisfy 3rd assumption (technical).

Conclusion

- We constructed a channel with an additive classical capacity, but a superadditive classical capacity with limited entanglement assistance.
- What exactly gives additivity of C_E ? Perhaps it is 'unlimited' entanglement assistance
- Additivity is a very fragile property.

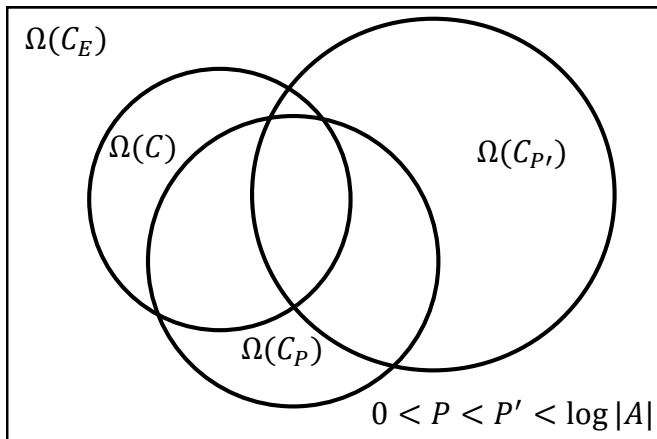


Conclusion



As one starts increasing entanglement assistance, one also needs to increase entanglement across channel inputs in order to achieve capacity.

Conclusion



$\Omega(C_P)$: all quantum channels with C_P additive

Conclusion

- This talk is from the perspective of the robustness of additivity
- One can also look at it from the perspective of trade-off capacities

Conclusion

- This talk is from the perspective of the robustness of additivity
- One can also look at it from the perspective of trade-off capacities
- C additive, Q additive, CQ trade-off can be superadditive. Many other results in CQE trade-off.
- arXiv: 1708.04314
with Quntao Zhuang, Min-Hsiu Hsieh, Peter Shor
- Thank you!